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Approved for use through 3/31/2007. OMB 0651-0021

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# TRANSMITTAL LETTER TO THE UNITED STATES

ATTORNEY'S DOCKET NUMBER

	DESIGNATED/ELECTED CERNING A SUBMISSIC	U.S. APALIDATIONING (T KAGWA) SEE 37 CFR 1.5)						
INTERNAT PCT/	IONAL APPLICATION NO. JP2004/009040	INTERNATIONAL FILING DATE 21 June 2004	PRIORITY DATE CLAIMED 26 June 2003					
TITLE OF INVENTION AN IMAGE FORMATION APPARATUS								
	APPLICANT(S) FOR DO/EO/US							
Mitsuru SHINGYOHUCHI, Mikio OHASHI and Hiroshi NODA  Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:								
<u> </u>	This is a <b>FIRST</b> submission of items concerning a submission under 35 U.S.C. 371.							
2. 🗀 т	This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371.							
	This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.							
4. 🔲 T	The US has been elected (Article 31).							
5. X	A copy of the International Application as filed (35 U.S.C. 371(c)(2))							
	a. X is attached hereto (required only if not communicated by the International Bureau).							
	b. X has been communicated by the International Bureau.							
	c. is not required, as the application was filed in the United States Receiving Office (RO/US).							
6.	An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).							
	a. is attached hereto.							
1	b. has been previously submitted under 35 U.S.C. 154(d)(4).							
7.	Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))							
ų.	a. are attached hereto (required only if not communicated by the International Bureau).							
	b. have been communicated by the International Bureau.							
	c. have not been made; however, the time limit for making such amendments has NOT expired.							
	d. have not been made and will not be made.							
8.	An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).							
9. x	An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).							
10.	An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).							
Items 11 to 20 below concern document(s) or information included:								
11. X	An Information Disclosure Statement under 37 CFR 1.97 and 1.98.							
12. 🔀	An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.							
13. 🗌	A preliminary amendment.							
14. 🔲	An Application Data Sheet under 37 CFR 1.76.							
15. 🗆	A substitute specification.							
16. 😠	A power of attorney and/or change of address letter.							
17. 🗌	A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.							
18.	A second copy of the published International Application under 35 U.S.C. 154(d)(4).							
19. 🔲	A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).							

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. Page 1 of 3

PTO-1390 (Rev. 07-2005)

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U.S. APPLICATION NO. (if known, see 37 CFR-1.5)				INTERNATIONAL APPLICATION NO. PCT/JP2004/009040		ATTORNEY'S DOCKET NUMBER 2271/75606	
20: Other items or information: See attached Page 4.							
			•				
The foll	owing fees have b	een submitted			CALCULATIONS	PTO USE ONLY	
21. 🗓 Basi	c national fee (37	CFR 1.492(a))	·······	\$300	\$ 300.00		
22. 🌠 Exam	nination fee (37 CF						
by IPEA/	ion prepared by IS US indicates all cl ns	\$ 200.00					
If the written opin IPEA/US Search fee (37 C Internation International Sea previously	rch fee (37 CFR 1. ion of the ISA/US indicates all claim FR 1.445(a)(2)) had Searching Aurch Report prepare communicated to	\$ 400.00					
TOTAL OF 21, 22 and 23 =					\$900.00		
sequence electronic	e for specification e listing in complia c medium) (37 CF s \$250 for each ad Extra Sheets						
		Number of each additional 50 or fraction RATE thereof (round up to a whole number)					
.95-100=	/50 =	<u>-</u>	<u> </u>	x \$250	\$	<u>'</u>	
Surcharge of \$13 after the date of o	0.00 for furnishing commencement of	\$					
CLAIMS	NUMB	ER FILED	NUMBER EXTRA	RATE	\$	1	
Total claims		15 - 20 =	0	× \$ 50	\$ 0		
Independent clair		1 -3=	. 0	× \$200 + \$360	\$ 0		
MULTIPLE DEPE	ENDENT CLAIM(S	\$ 0					
Applicant cla	ims small entity st	\$ 900.00					
		\$	1				
-	f <b>\$130.00</b> for furnis ate (37 CFR 1.492	\$					
TOTAL NATIONAL FEE =					\$ 900.00		
	the enclosed ass cover sheet (37 (	\$ 40.00					
TOTAL FEES ENCLOSED = \$ 940.00							
					Amount to be refunded:	\$	
					Amount to be charged	\$	

10/561303

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a. X A check in the amount of \$ 940.00	_ to cover the above fees is enclosed.					
I	_ in the amount of \$ to cover the above fees.					
The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>03-3125</u> . A duplicate copy of this sheet is enclosed.						
Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form</b> . Provide credit card information and authorization on PTO-2038.						
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.						
SEND ALL CORRESPONDENCE TO:						
Customer Number 23432	SIGNATURE					
Ivan S. Kavrukov, Esq.	Paul Teng ${\cal O}$					
Cooper & Dunham LLP	NAME					
1185 Avenue of the Americas	40,837					
New York, New York 10036	REGISTRATION NUMBER					
Tel.: (212) 278-0400						
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## IAP20 Rec'd PCT/7TO 19 DEC 2005

Dkt. 2271/75606

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Mitsuru SHINGYOHUCHI, Mikio OHASHI and Hiroshi NODA

Serial No.

Not Yet Known

Int'l Appl'n No.: PCT/JP2004/009040

Date Filed

Concurrently Herewith

Int'l Filing Date: 21 June 2004

For: AN IMAGE FORMATION APPARATUS

Page 4 of Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Submission Under 35 U.S.C. 371

#### 20. Other items or information:

Copies of the following--

- cover page of PCT Publication No. WO 2005/000589 A1;
- PCT Request;
- Notification Concerning Submission Or Transmittal Of Priority Document;
- Notification Of Transmittal Of The International Search Report And The Written Opinion Of The International Searching Authority, Or The Declaration;
- Written Opinion Of The International Searching Authority;
- First Notice Informing The Applicant Of The Communication Of The International Application [To Designated Offices Which Do Not Apply The 30 Month Time Limit Under Article 22(1)]; and
- Second And Supplementary Notice Informing The Applicant Of The Communication Of The International Application [To Designated Offices Which Apply The 30 Month Time Limit Under Article 22(1)].

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Mitsuru SHINGYOHUCHI, Mikio OHASHI and Hiroshi NODA

Serial No.

Not Yet Known

Int'l Appl'n No.: PCT/JP2004/009040

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Int'l Filing Date: 21 June 2004

For:

AN IMAGE FORMATION APPARATUS

**Mail Stop PCT** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

ATTN: DO/EO/US

Sir:

### **EXPRESS MAIL LETTER OF TRANSMITTAL**

Express Mail mailing label number: EV554806147US

Date of Deposit: December 19, 2005

I hereby certify that the above-identified new application, consisting of four pages of the Transmittal Letter To The United States Designated/Elected Office (DO/EO/US) Concerning A Filing Under 35 U.S.C. 371 (in duplicate), a copy of the International Application as filed [including 72-page specification with claims 1-15, Abstract and 23 sheets of formal drawings (Figs. 1-40)], Declaration And Power Of Attorney (4 pages), Assignment and Recordation Cover Sheet (4 pages total), Information Disclosure Statement (including Form PTO-1449 and copies of references), cover page of PCT Publication No. WO 2005/000589 A1, PCT Request, Notification Concerning Submission Or Transmittal Of Priority Document, Notification Of Transmittal Of The International Search Report And The Written Opinion Of The International Searching Authority, Or The Declaration, Written Opinion Of The International Searching Authority, First Notice Informing The Applicant Of The Communication Of The International Application [To Designated Offices Which Do Not Apply The 30 Month Time Limit Under Article 22(1)], Second And Supplementary Notice Informing The Applicant Of The Communication Of The International Application [To Designated Offices Which Apply The 30 Month Time Limit Under Article 22(1)], a check in the amount of \$940.00 and return stamped postcard, is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR §1.10 on the date indicated above and is addressed to the Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, ATTN: DO/EO/US.

Mail Room Employee

Depositing Express Mail Material

Attorneys for Applicants: IVAN S. KAVRUKOV, Reg. No. 25,161 PAUL TENG, Reg. No. 40,837 Cooper & Dunham LLP 1185 Avenue of the Americas New York, N.Y. 10036 Tel: (212) 278-0400